

1-23-02

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FORM PTO-1082

Express Mail EL 926 866 021 US

PATENT

Atty. Docket No. 21943-1020

Date: January 18, 2002

UTILITY PATENT APPLICATION TRANSMITTAL and FEE TRANSMITTAL

Box PATENT APPLICATION
 COMMISSIONER FOR PATENTS
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Yumiko KAWANO, Hideaki YAMASAKI and Gishi CHUNG
 For: METHOD AND APPARATUS FOR MANUFACTURING SEMICONDUCTOR DEVICE

Enclosed are:

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
- ☐ Applicant Claims Small Entity Status
- ☒ Specification, Claims, and Abstract (29 pages)
- ☒ Sheet(s) of drawing (☐ formal, ☒ informal)
- ☐ Form PTO-1595 and an assignment of the invention to:
- ☒ A copy of International Patent Application No. PCT/JP00/04889 from which priority is claimed.
- ☒ A certified copy of Japan Patent Application No. H11-210149 filed on July 26, 1999 from which priority is claimed ☒ will follow.
- ☐ An associate Power of Attorney.
- ☐ Combined Declaration and Power of Attorney.
- ☐ Information Disclosure Statement, Form PTO 1449, and ☐ cited references
- ☐ Request and Certification under 122(b)
- ☒ Postcard

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76:

- ☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
 of prior application No.: PCT/JP00/04889 and Japan Application No. H11-210149.
 Examiner: _____ Group/Art Unit: _____

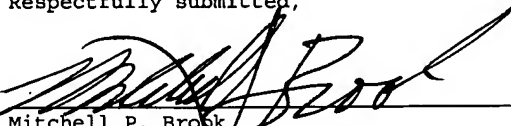
- ☒ Check in the amount of \$740.00 to cover the filing fee is enclosed.
- ☐ Check in the amount of \$0.00 to cover the Assignment Recordation filing fee is enclosed.

- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-0410 of Baker & McKenzie.

A duplicate copy of this sheet is enclosed.

- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Mitchell P. Brook
 Registration No. 32,967
 c/o BAKER & MCKENZIE
 101 West Broadway, 12th Floor
 San Diego, California 92101
 (619) 236-1441

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 740.00

Complete if Known

Application Number	To be assigned
Filing Date	Filed herewith
First Named Inventor	Yumiko KAWANA et al.
Examiner Name	To be assigned
Group Art Unit	To be assigned
Attorney Docket No.	21943-1020

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-0410**
Deposit Account Name **Baker & McKenzie**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ 740.00

2. EXTRA CLAIM FEES

	Total Claims	Extra Claims	Fee from below	Fee Paid
	20	-20** = 0	x 0.00	= 0.00
	3	-3** = 0	x 0.00	= 0.00
	Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

	Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1,440	218	720	Extension for reply within fourth month
128	1,960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of Appeal
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,280	241	640	Petition to revive - unintentional
142	1,280	242	640	Utility issue fee (or reissue)
143	460	243	230	Design issue fee
144	620	244	310	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Processing fee under 37 CFR 1.17(q)
126	180	126	180	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279	370	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00

SUBMITTED BY

Name (Print/Type) Mitchell P. Brook

Registration No. 32,967

Complete (if applicable)

Telephone 619 236-1441

Signature

Date

1/18/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Art Unit: To be assigned
Yumiko KAWANO et al.)	
)	Examiner: To be assigned
Serial No.: To be assigned)	
)	
Filed: Filed herewith)	
)	
For: METHOD AND APPARATUS FOR)	
MANUFACTURING)	
SEMICONDUCTOR DEVICE)	

San Diego, California 92101
January 18, 2002

BOX PATENT APPLICATION
Commissioner For Patents
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAILING

Dear Sir:

"Express Mail" mailing label No. EL 926 866 021 US

Date of Deposit: January 18, 2002

I hereby certify that a Utility Patent Application Transmittal, Fee Transmittal, Patent Application including the Specification, Abstract, and Claims (29 pages), Informal Drawings (FIGS. 1-11 on 11 pages), Check in the amount of \$740.00 (Filing Fee) and return postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to the Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.



Karen M. Cruz